

---

**David E. (Chip) Workman, MBA, CFP®**

Prior to joining TAAG in 2008, Chip developed his financial planning experience as a Personal Trust Officer with US Bank, opened the first private banking office for Merchants Bank & Trust, and later the first Cincinnati office for Stock Yards Bank. At US Bank, Chip consulted with clients on a wide spectrum of trust services, and provided financial analysis and trust administration for clients. At both Stock Yards and Merchants Bank & Trust, he worked with high net worth clients on their personal and family wealth plans.

**Date of birth**

November 14, 1978

**Education**

MBA, Xavier University, 2005

BA, Miami University, 2002

**Business Experience**

President and Owner, The Asset Advisory Group, Inc. (2015 – Present)

Lead Advisor and Owner, The Asset Advisory Group, Inc. (2013 – 2015)

Lead Advisor, The Asset Advisory Group, Inc. (2008 – 2012)

VP Private Banking, Stock Yards Bank & Trust (2007 – 2008)

VP Private Banking, Merchants Bank & Trust (2005- 2007)

Personal Trust Officer, US Bank, N.A. (2002 – 2005)

**Professional Designations**

CFP® (Certified Financial Planner)

**Disciplinary Information**

Mr. Workman does not have any history of reportable disciplinary events.

**Other Business Activities**

Mr. Workman is not engaged in any other investment-related business or occupation.

**Additional Compensation**

Mr. Workman does not receive any compensation for his work other than his salary from TAAG.

**Supervision**

As Chief Compliance Officer, Jeannette Jones is primarily responsible for supervision of our employees' advisory activities and advice provided to our clients. We have written policies and procedures, which include the use of investment policy statements signed by both the client and the advisor, restrictions on employee personal trading, and prohibitions against trading based on material non-public information. Jeannette regularly monitors these and other elements of the firm's policies and procedures to assure compliance.

Jeannette Jones can be contacted at (513) 771-7222 or at [jjones@taaginc.com](mailto:jjones@taaginc.com).

**Requirements for State-Registered Advisors**

Since TAAG is registered with the SEC, there are no additional disclosures.